

**Michigan Department of Consumer & Industry Services
Corporation, Securities and Land Development Bureau
DISCIPLINARY ACTION REPORT - 4th Quarter 1998
For More Information Call: (517) 334-6209**

Location of Subject	Date	Docket #	Respondent(s)	Violation(s)	Penalties	For Further Information Contact
Woodland Hills, CA Denver, CO	8/11/98	LP 8653	Tri Star Capital Management Group, Inc. 20501 Ventura Boulevard, Suite 275 Woodland Hills, CA 91364 CRD No. 43630 and Quest Productions, Inc. 20501 Ventura Boulevard, Suite 275 Woodland Hills, CA 91364 CRD No. 43637 and Expedition Partners, L.L.P. 330 East First Avenue, Suite 600 Denver, CO 80206	Failed to provide written statements required by the Administrator Section 407(a)(2)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
San Diego, CA	8/31/98	SN 8654	Fred Chapin Marsh II 5663 Balboa Avenue, #382 San Diego, CA 92111	Unregistered, nonexempt Securities Section 301 Omission of material facts Section 101(2) Made false or misleading statement to the Administrator Section 404	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Okemos, MI	8/31/98	SS 7570	Kathryn Gibson 3687 Beach Tree Lane Okemos, MI 48864 and Craig Gibson 3687 Beach Tree Lane Okemos, MI 48864	Unregistered, nonexempt Securities Section 301 Omission of material facts Section 101(2) Failed to provide written statements required by the Administrator Section 407(a)(2)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act for a period of five years from the date of Order Civil Penalty in the amount of \$3,000.00 Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909

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Sarasota, FL	8/31/98	BD 7632	Meridian, Dunhill & Co., Inc. f/k/a Meridian Associates, Inc. 1819 Main Street, Suite 1000 Sarasota, FL 34236	Failing to submit Annual Fee Section 201(b) Failing to file an Annual Report Section 201(e) Violating the Act, Rules or an Order issued under the Act Section 204(a)(1)(B)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Broker-dealer registration is Revoked Respondent is Censured from further activity affecting the public interest Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Iron Mountain, MI	9/3/98	SA 7617	Clare William Willman 1654 South Park Street Iron Mountain, MI 49801 CRD No. 468679 and Aide the Living, Inc. 100 East B Street Iron Mountain, MI 49801	Unregistered Broker-Dealer (Applies to Aide) Section 201(a) Unregistered, nonexempt Securities (Applies to Aide and Willman) Section 301 Failed to provide written statements with the Administrator (Applies to Aide and Willman) Section 407(a)(2) Being the subject of an Order (Applies to Aide and Willman) Section 204(a)(1)(F)	Cease and Desist Respondent Willman shall be strictly supervised by his employing broker-dealer for a period of one (1) year from the date of this Order. Respondent William shall keep the Bureau apprised of the status of any currently pending or future investigation arising out of his activities in the securities or commodities industry Respondents shall not engage in any prohibited practice Respondent shall comply with all conditions imposed by Consent Order, all state and federal securities laws, all rules promulgated thereunder, and all rules promulgated by any national securities exchange or national securities association registered under the Securities Act of 1934 Respondent Willman shall not transfer his agent's registration to any other broker-dealer without written approval of the Bureau Pay a civil penalty of \$6,000.00	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909

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Brooklyn, NY	11/9/98	BD 8649	Kensington Wells Incorporated 1623 Pitkin Avenue Brooklyn, NY 11212 CRD No. 30570	Failed to file a written statement with the Administrator Section 407(a)(2) Violating the Act, Rules or an Order issued under the Act Section 204(a)(1)(B)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Broker-Dealer registration is Revoked Respondent is Censured from further activity affecting the public interest Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
East Lansing, MI	11/12/98	SA 7535	Raymond R. Marosi 952 Michigan Avenue East Lansing, MI 48823	Filed an application for registration which contained statements that were false or misleading in a material fact Section 204(a)(1)(A)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Cease and Desist Respondent is Censured from further activity affecting the public interest	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Lake Success, NY	12/1/98	BD 8712	Stratton Oakmont, Inc. 1979 Marcus Avenue Lake Success, NY 11042-1002	Subject of an Order Section 204(a)(1)(F) Violating the Act, Rules or an Order issued under the Act Section 204(a)(1)(B)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Broker-Dealer registration is Revoked Respondent is Censured from further activity affecting the public interest Cease and Desist	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909

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Portage, MI	12/8/98	BD 8651	<p>Ryan A. Holt 7695 Bernard Drive Portage, MI 49024 and</p> <p>Suite K 111 6749 S. Westnedge Avenue Portage, MI 49002</p> <p>Avonni Holdings Group, L.L.C. 7695 Bernard Drive Portage, MI 49024 and</p> <p>Suite K 111 6749 S. Westnedge Avenue Portage, MI 49002</p> <p>Gramercy International Group, L.L.C. 7695 Bernard Drive Portage, MI 48024 and</p> <p>Suite K 111 6749 S. Westnedge Avenue Portage, MI 49002</p>	<p>Unregistered, nonexempt Securities Section 301</p> <p>Transacted business without being registered Section 201(a)</p> <p>Omission of material facts Section 101(2)</p> <p>Made untrue statements of material facts Section 101(2)</p> <p>Failed to provide a statement in writing as required by the Administrator Section 407(a)(2)</p>	<p>Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act</p> <p>Cease and Desist</p>	<p>Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909</p>
Troy, MI	12/10/98	SS 8690	<p>New Millennium Investments, Inc. 1779 Brentwood Drive Troy, MI 48098 and</p> <p>Rahul Shah, President, d/b/a New Millennium Investments, Inc. 1779 Brentwood Drive Troy, MI 48098</p>	<p>Unregistered Investment Advisor Omission to state material facts Section 201(d)</p>	<p>Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act</p> <p>Pay Administrative Costs of \$1,500.00</p> <p>Cease and Desist from any act or practice constituting a violation of the Act, Rules or and Order issued pursuant to the Act</p>	<p>Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909</p>
New York, NY	12/11/98	Consent Order	D.H.Blair & Co., Inc.	<p>Improper Pricing and Failed to make adequate disclosure in order to make statements to certain aftermarket purchasers of certain securities not misleading Section 451.501</p>	<p>Enter into a Multi-State coordinated review Committee's Consent Agreement by submitting a Letter of Acceptance, Waiver and Consent (No. C10970167) resulting in a Censure and Fine in the amount of \$2,000,000 along with restitution to retail customers in the amount of \$2,394,857 and certain other remedial measures and individual sanctions.</p>	<p>Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909</p>

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Ironwood, MI	12/15/98	SA 8641	Robert W. Harden 100 W. McLeod Avenue Ironwood, MI 49938	Unregistered, nonexempt Securities Section 301 Transacted business without being registered Section 201(a) Failed to file a written statement as required by the Administrator Section 407(a)(2)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Cease and Desist from any act or practice constituting a violation of the Act, Rules or and Order issued pursuant to the Act	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Bruce Crossing, MI	12/21/98	SS 7621	Green River Holdings, Inc. c/o Gilbert Kotila Route 1, P.O. Box 25 Bruce Crossing, MI 49912 and Gilbert Kotila, President Green River Holdings, Inc. Route 1, P.O. Box 25 Bruce Crossing, MI 49912	Unregistered, nonexempt Securities Section 301 Omission of material facts Section 101(2) Engaged in an act, practice, or course of business which operated or would operate as a fraud or deceit upon any person Section 101(3) Failed to file a written statement as required by the Administrator Section 407(a)(2)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Cease and Desist from any act or practice constituting a violation of the Act, Rules or and Order issued pursuant to the Act	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909
Layton, UT	12/29/98	SA 8707	John Wendell Neumann Suite F 1598 North Hill Field Road Layton, UT 84041 CRD No. 2603653	Respondent being insolvent, either in the sense that his liabilities exceed his assets or in the sense that he cannot meet his obligations as they occur Failed to file a written statement as required by the Administrator Section 407(a)(2) Violating the Act, Rules or an Order issued under the Act Section 204(a)(1)(B)	Revoked and Denied with respect to rights to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act Respondent's Agent Registration is revoked Respondent is Censured Cease and Desist from any act or practice constituting a violation of the Act, Rules or and Order issued pursuant to the Act	Consumer & Industry Services Corporation, Securities & Land Development Bureau Enforcement Division 6546 Mercantile Way P.O. Box 30222 Lansing, MI 48909